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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p style="text-align: center;"><b>TRANSMITTAL FORM</b></p> <p><i>Use for all correspondence after filing</i></p> <p>Total number of Pages in this submission</p>	Application Number	09/847,947
	Filing Date	May 2, 2001
	First Named Inventor	Ainsworth
	Group Art Unit	3731
	Examiner Name	Unassigned
	Attorney Docket No.	CSI-2016-CB1

Assistant Commissioner for Patents  
Washington, D.C. 20231

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> FEE TRANSMITTAL FORM <input type="checkbox"/> FEE ATTACHED <input type="checkbox"/> AMENDMENT/RESPONSE <input type="checkbox"/> AFTER FINAL <input type="checkbox"/> AFFIDAVITS' DECLARATION(S)  <input type="checkbox"/> EXTENSION OF TIME  <input type="checkbox"/> EXPRESS ABANDONMENT REQUEST  <input checked="" type="checkbox"/> INFORMATION DISCLOSURE STATEMENT (3PG)W/1449 (1PG) W/ ALL REFERENCES <input type="checkbox"/> CERTIFIED COPY OF PRIORITY DOCUMENT(S) <input type="checkbox"/> RESPONSE TO MISSING PARTS/ INCOMPLETE APPLICATION <input type="checkbox"/> RESPONSE TO MISSING PARTS UNDER 37 CFR 1.52 OR 1.53 COPY OF PTO-1533  <input type="checkbox"/> ISSUE FEE TRANSMITTAL <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> ASSIGNMENT PAPERS (FOR AN APPLICATION) <input type="checkbox"/> DRAWING(S) <input type="checkbox"/> LICENSING-RELATED PAPERS <input type="checkbox"/> PETITION ROUTING SLIP (PTO/SB/60) AND ACCOMPANYING PETITION <input type="checkbox"/> TO CONVERT A PROVISIONAL APPLICATION <input type="checkbox"/> POWER OF ATTORNEY, REVOCATION CHANGE OF CORRESPONDENCE ADDRESS <input type="checkbox"/> TERMINAL DISCLAIMER <input type="checkbox"/> SMALL ENTITY STATEMENT <input type="checkbox"/> REQUEST FOR REFUND	<input type="checkbox"/> AFTER ALLOWANCE COMMUNICATION TO GROUP <input type="checkbox"/> APPEAL COMMUNICATION TO BOARD OF APPEALS AND INTERFERENCES <input type="checkbox"/> APPEAL COMMUNICATION TO GROUP (APPEAL NOTICE, BRIEF, REPLY BRIEF) <input type="checkbox"/> PROPRIETARY INFORMATION <input type="checkbox"/> STATUS LETTER  <input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S) (PLEASE IDENTIFY BELOW): RETURN POSTCARD
<b>REMARKS:</b>		
LAW OFFICE OF HARRY J. MACEY P.O. Box 475845 SAN FRANCISCO, CA 94147-5845 TELEPHONE: (415) 929-2544 FACSIMILE: (415) 929-2544		DATE: FEBRUARY 2, 2002 BY: <i>Harry J. Macey</i> HARRY J. MACEY, REG. NO. 32,818
Certificate of Mailing by Express Mail		
Express Mail Label No.: EU220306682US Date of Deposit: February 2, 2002 I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 Name: Harry Macey Signature: <i>Harry J. Macey</i>		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>Express Mail Label No.: EU220306682US      Date of Mailing: February 2, 2002</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p><u>Harry Macey</u> Signature</p> <p><b>CERTIFICATE OF MAILING BY "EXPRESS MAIL"</b></p>	
<p>Name of Person signing: Harry Macey</p> <p>RECEIVED APR 24 2002 TECHNOLOGY CENTER R3700</p>	
<p>In re Application for: Ainsworth et al. Serial No. 09/847,947 Filing Date: May 2, 2001 For: SELF-CLOSING SURGICAL CLIP FOR TISSUE</p>	<p>Examiner: Not Yet Assigned Group Art Unit: 3731</p> <p>RECEIVED FEB 12 2002 TC 3700 MAIL ROOM</p>

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application, the documents listed on the attached Form PTO-1449. A copy of each of the documents listed on the form is submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- Is being submitted with the filing of the above-referenced patent application.
- Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- A fee is required. A check in the amount of \$\* is enclosed.
- A Certification under 37 C.F.R. § 1.97(e) is provided below; according, no fee is believed

to be due.

After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

If the Assistant Commissioner deems additional fees necessary to have the documents submitted herewith considered, the Assistant Commissioner is authorized to charge such fees to **Deposit Account No. 50-1947** referencing Docket No. **CSI-2016CP1**.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1947** referencing Docket No. **CSI-2016CP1**. However,

the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: February 2, 2002

By: Harry J. Macey  
Harry J. Macey  
Registration No. 32,818

Law Office of Harry J. Macey  
P.O. Box 475845  
San Francisco, CA 94147-5845  
Telephone: (415) 929-2544  
Facsimile: (415) 929-2544